

Date : _____

To,
Emkay Global Financial Services Limited
Mumbai.

Sub: Consent to maintain minimum balance in my account(s) with you

Dear Sir,

I/We, the withinnamed having accounts with you hereby give my/our consent to you to maintain a minimum balance of Rs. 1,000/- (Rupees one thousand only) in my/our account(s) across all segments/accounts maintained with Emkay Global Financial Services Limited (Emkay).

Further, I/we am/are aware of the SEBI guidelines and circular no MIRSD/SE/Cir-19/2009 dated December 3, 2009 pertaining to running account authorization and the requirement for a broker to actually do a settlement of funds and securities atleast once in a calendar month or maximum a calendar quarter. In regard to the said settlement requirements, I/we hereby request Emkay that while doing actual settlement of funds and securities for my/our account(s) (once in a month or quarter, as the case may be) please always keep this minimum balance in my/our account(s) which may please be not considered for actual settlement of funds and securities.

I understand that this minimum balance is maintained with Emkay so that my/our account(s) is/are not impacted with periodical bills of small amounts and my/our account(s) maintain a healthy and active status at all times. In any untoward situation where I/we wish to close my relationship with Emkay, Emkay is bound to pay me/us the balance amount lying in my/our account(s) including this minimum balance.

Client Code : _____

Client Name : _____

Client Address : _____

Client Signature : _____