

Date:

**To,**  
**The Compliance Officer,**  
Emkay Global Financial Services Limited.  
Paragon Centre, C-06, Ground floor,  
Pandurang Budhkar Marg,  
Opp. Century Mills, Worli  
Mumbai - 400013

**Subject: - Undertaking - No Pending Litigations/Not Debarred by any regulatory Authorities.**

I/We ("*The Applicant*")\_\_\_\_\_ hereby Certify/Declare that I/We have not been debarred by the SEBI or any other regulatory Authority from dealing in securities or from accessing the securities market as on the date of this undertaking.

I/We further confirm that as of the date hereof, there is no outstanding order, judgment or decree of any Governmental Body against me/us that has the effect of restraining, prohibiting or debaring me/us or our directors /partners from dealing in securities or from accessing the securities market, additionally we also confirm that we have not been designated as Shell Company by any of the Regulatory Authorities.

Further with reference to Matters/Regulatory orders as notified vide your email dated \_\_\_/\_\_\_/\_\_\_\_; we confirm that relevant orders are already closed/revoked/not applicable as on date of this undertaking, we are herewith enclosing the self-attested copy of relevant regulatory order in confirmation of annulment/non-applicability to us of relevant orders.

Additionally in accordance with Regulatory guidelines with respect to "*PAST ACTIONS*" we are herewith enclosing the details if any of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority/regulator against me/us or our partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years, whether in terms of criminal or civil proceedings by any enforcement agency worldwide.

NO <input type="checkbox"/>	YES <input type="checkbox"/> <i>(Please enclose the self-attested copy of order)</i>
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I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We may be held liable for it.

For XXXXXX

Name: -

Designation: -

Date: -

IT PAN:-