

FATCA Declaration and Additional KYC Form for Individual Investors

(One time declaration to be provided by Existing investors)

1. EXISTING INVESTOR'S FOLIO NUMBER

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2. FIRST APPLICANT DETAILS

NAME PAN / PEKRN

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Name of Guardian** if first applicant is minor PAN / PEKRN (Guardian)

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Date of Birth of 1st Applicant

D	D	M	M	Y	Y
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 STATUS: NRI Resident Individual **In case First applicant is minor then details for Guardian will be required.

OCCUPATION** : Professional Agriculturist Housewife Retired Government Service/Public Sector
 Business Forex Dealer Student Private Sector Service Others _____

COUNTRY OF TAX RESIDENCE** India U.S.A. Others (In case Country of Tax Residence is only India then details of Country of Birth & Nationality need not be provided)

If you have more than one country of tax residence please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers

Country of Tax Residence	Tax Identification Number (TIN) %	TIN issuing Country	Identification Type (TIN or Other)	Type of Documentary Evidence

*In case Tax Identification Number is not available, kindly provide its functional equivalent \$

COUNTRY OF BIRTH** COUNTRY OF NATIONALITY/CITIZENSHIP**

GROSS ANNUAL INCOME DETAILS** Please tick (✓) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs 25 Lacs-1 Crore >1 Crore

NET-WORTH** in ₹ _____ (Net worth should not be older than 1 year) as on (Date)

D	D	M	M	Y	Y	Y	Y
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Are you a Politically Exposed Person (PEP)** Yes No Are you related to a Politically Exposed Person (PEP) Yes No

3. SECOND APPLICANT DETAILS

NAME PAN / PEKRN

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OCCUPATION : Professional Agriculturist Housewife Retired Government Service/Public Sector
 Business Forex Dealer Student Private Sector Service Others _____

COUNTRY OF TAX RESIDENCE** India U.S.A. Others (In case Country of Tax Residence is only India then details of Country of Birth & Nationality need not be provided)

If you have more than one country of tax residence please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers

Country of Tax Residence	Tax Identification Number (TIN) %	TIN issuing Country	Identification Type (TIN or Other)	Type of Documentary Evidence

*In case Tax Identification Number is not available, kindly provide its functional equivalent \$

COUNTRY OF BIRTH** COUNTRY OF NATIONALITY/CITIZENSHIP**

GROSS ANNUAL INCOME DETAILS** Please tick (✓) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs 25 Lacs-1 Crore >1 Crore

NET-WORTH** in ₹ _____ (Net worth should not be older than 1 year) as on (Date)

D	D	M	M	Y	Y	Y	Y
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Are you a Politically Exposed Person (PEP)** Yes No Are you related to a Politically Exposed Person (PEP) Yes No

4. THIRD APPLICANT DETAILS

NAME PAN / PEKRN

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OCCUPATION : Professional Agriculturist Housewife Retired Government Service/Public Sector
 Business Forex Dealer Student Private Sector Service Others _____

COUNTRY OF TAX RESIDENCE** India U.S.A. Others (In case Country of Tax Residence is only India then details of Country of Birth & Nationality need not be provided)

If you have more than one country of tax residence please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers

Country of Tax Residence	Tax Identification Number (TIN) %	TIN issuing Country	Identification Type (TIN or Other)	Type of Documentary Evidence

*In case Tax Identification Number is not available, kindly provide its functional equivalent \$

COUNTRY OF BIRTH** COUNTRY OF NATIONALITY/CITIZENSHIP**

GROSS ANNUAL INCOME DETAILS** Please tick (✓) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs 25 Lacs-1 Crore >1 Crore

NET-WORTH** in ₹ _____ (Net worth should not be older than 1 year) as on (Date)

D	D	M	M	Y	Y	Y	Y
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Are you a Politically Exposed Person (PEP)** Yes No Are you related to a Politically Exposed Person (PEP) Yes No

DECLARATION

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief. In the event any of the above information is/are found to be false/incorrect and/or the declaration is not provided, then the AMC/Trustee/Mutual Fund shall reserve the right to reject the application and/or reverse the allotment of units and the AMC/Mutual Fund/Trustee shall not be liable for the same. I/We hereby authorize sharing of the information furnished in this form with all SEBI Registered Intermediaries and they can rely on the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end.

<input checked="" type="checkbox"/> First /Sole Applicant / Guardian	<input checked="" type="checkbox"/> Second Applicant	<input checked="" type="checkbox"/> Third Applicant
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GENERAL INSTRUCTIONS

1. This application form has to be filled by the Individual investors (RI & NRI) and Sole Proprietors only.
2. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

FATCA & CRS TERMS & CONDITIONS

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian Financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

FATCA & CRS INSTRUCTIONS

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case investor has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, investor to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA / CRS indicia
U.S. place of birth	<ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below);AND 3. Any one of the following documents: <ul style="list-style-type: none"> Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the investor does not have such a certificate despite renouncing US citizenship; or Reason the investor did not obtain U.S. citizenship at birth
Residence/mailling address in a country other than India	<ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below)
Telephone number in a country other than India	<p><i>If no Indian telephone number is provided</i></p> <ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below) <p><i>If Indian telephone number is provided along with a foreign country telephone number</i></p> <ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR 2. Documentary evidence (refer list below)
Telephone number in a country other than India	<ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

*** Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.**