

FATCA Declaration and Additional KYC Form for Non-Individual Investors

(One time declaration to be provided by Existing investors)

1. EXISTING INVESTOR'S FOLIO NUMBER

2. APPLICANT DETAILS

NAME PAN / PEKRN

3. KYC DETAILS

NET-WORTH in ₹ _____ (Net worth should not be older than 1 year) as on (Date)

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors)

I am PEP I am a relative / associate of PEP None of these

Non-Individual Investors involved / providing any of the mentioned services

Foreign Exchange / Money Changer Services Gaming / Gambling / Lottery / Casino Services Money Lending / Pawning None of these

4. FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) DECLARATION

A. PARTICULARS

COUNTRY OF INCORPORATION

COUNTRY OF TAX RESIDENCE India U.S.A. Others _____ (please specify)

If you have more than one country of tax residence please specify the names of all the countries separated by commas

FOREIGN TAX ID NO

B. OTHER INFORMATION

1. Are you a financial institution (including a Foreign Financial Institution (FFI))? Yes No

If you have ticked "Yes" then please provide your Global Intermediary Identification Number (GIIN) _____

If you do not have a GIIN then please specify the status as either of the 3 given below

a. Applied for b. Not required to apply for c. Not obtained

2. Are you a Non-financial foreign entity (NFFE). Yes No

If you have ticked "Yes" then please fill Part 1 given below

Part 1 (Please tick wherever applicable)

1	Are you a listed company (that is a company whose shares are regularly traded on a recognized stock exchange)?	<input type="checkbox"/> Yes <input type="checkbox"/> No Name of the stock exchange _____ (Please specify any one stock exchange upon which the stock is regularly traded)
2	Are you a related entity of a listed company?	<input type="checkbox"/> Yes <input type="checkbox"/> No Name of the company, the stock of which is regularly traded _____ Name of the stock exchange _____ (Please specify any one stock exchange upon which the stock is regularly traded)
3	Are you an active NFFE?	<input type="checkbox"/> Yes <input type="checkbox"/> No Yes, Nature of business _____: No _____ (If Yes, stop at Q3, if No, answer Q4)
4	Are you a passive NFFE? If you have ticked "Yes" then please fill Part 2 given below	<input type="checkbox"/> Yes <input type="checkbox"/> No Yes, Nature of business _____:

Part 2 (In case the space provided is insufficient, please provide the information by attaching separate declaration forms)

Name (Controlling person)	Country of tax residency	Country of tax citizenship	Address	Tax identification number (or functional equivalent) for each country identified in relation to each investor

5. DECLARATION

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief. In the event any of the above information is/are found to be false/incorrect and/or the declaration is not provided, then the AMC/Trustee/Mutual Fund shall reserve the right to reject the application and/or reverse the allotment of units and the AMC/Mutual Fund/Trustee shall not be liable for the same. I/We hereby authorize sharing of the information furnished in this form with all SEBI Registered Intermediaries and they can rely on the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end.

First / Sole Applicant / Guardian Second Applicant Third Applicant

INSTRUCTIONS

1. Foreign Account Tax Compliance Act ("FATCA"): In accordance with the relevant provisions of the Foreign Account Tax Compliance Act ("FATCA") as contained in the United States Hiring Incentives to Restore Employment ("HIRE") Act, 2010, there is a likelihood of withholding tax being levied on certain income/ receipt sourced from the subjects of United States of America ("US") with respect to the schemes, unless such schemes are FATCA compliant. In this regard, the respective governments of India and US have agreed on the principal terms of a proposed Inter-Governmental Agreement (IGA) and the same is likely to be executed in near future. In terms of this proposed IGA, Reliance Mutual Fund ("RMF") and/ or Reliance Capital Asset Management Limited ("RCAM"/ "AMC") are likely to be classified as a "Foreign Financial Institution" and in which case RMF and/ or RCAM would be required, from time to time, to (a) undertake the necessary due-diligence process; (b) identify US reportable accounts; (c) collect certain required information/ documentary evidence ("information") with respect to the residential status of the unit holders; and (d) directly or indirectly disclose/report/ submit such or other relevant information to the appropriate US and Indian authorities. Such information may include (without limitation) the unit holder's folio detail, identity of the unit holder, details of the beneficial owners and controlling persons etc. In this regard and in order to comply with the relevant provisions under FATCA, the unit holders would be required to fully cooperate & furnish the required information to the AMC, as and when deemed necessary by the latter in accordance with IGA and/ or relevant circulars or guidelines etc, which may be issued from time to time by SEBI/AMFI or any other relevant & appropriate authorities. The applications which do not provide the necessary information are liable to be rejected. The applicants/ unit holders/ prospective investors are advised to seek independent advice from their own financial & tax consultants with respect to the possible implications of FATCA on their investments in the scheme(s).
2. Details under FATCA/Foreign Tax Laws: Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in information provided, please ensure you advise us promptly, i.e., within 30 days. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number. Foreign Account Tax compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.
3. If you are classified as a passive NFFE for FATCA purpose, please include in the section relating to Ultimate beneficial Owner (UBO), details of any specified US person having controlling interest in the foreign country information field along with your US Tax Identification Number for FATCA purposes. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.
4. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.