

**Channel Partner / Agent Information**

Serial No:EQ

Distributor's ARN & Name <b>ARN-1563</b>	Sub-broker's ARN (code)	Sub-broker Code (internal)	EUIN* (Employee Unique Identification Number) <b>E 0 7 6 9 9 4</b>
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ISC's signature & Time Stamping

\* Declaration for "Execution only" transaction (only where EUIN box is left blank)  I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Transaction charges For Rs. 10,000 and above:  
 Existing Investor-Rs.100  New Investor-Rs.150

Upfront commission shall be paid directly by the investor to the AMFI-registered distributors based on the investors' assessment of various factors including services rendered by the distributor.

First/Sole Applicant/ Guardian	Second Applicant	Third Applicant
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**1. Existing Investor Information (Please fill in your Folio No. and then proceed to Section 3)**  
 Please note that applicant details and mode of holding will be as per existing Folio Number.  
 KYC compliant  Yes  No (if no, please provide KYC proof/additional documents if not submitted earlier)

Folio No

**2. New Investor Information (refer instruction 2)**

Name of First/Sole Applicant Gender  Male  Female  Others

Name of First/Sole Applicant

Permanent Account Number (PAN) Date of Birth 

D	D	M	M	Y	Y	Y	Y
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 KYC Proof attached (Mandatory)

Name of Guardian (in case of First / Sole Applicant is a Minor)/Contact Person-Designation (in case of non-individual Investors) / POA Holder Name

Name of Guardian

Permanent Account Number (PAN) Relationship  KYC Proof attached (Mandatory)

Father's name (mandatory if PAN not provided)

Father's name

**Go Green Services (Save The Future): Please provide Contact Details of First / Sole Applicant**

E-Mail

STD Code Telephone Mobile

Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: Please tick (✓)

Account Statement  Annual Report  Other Statutory Information

Mode of Holding [Please (✓)]  Single  Joint  Anyone or Survivor

**Address of First / Sole Applicant**

Address of First / Sole Applicant

TOWN CITY/DISTRICT STATE PIN CODE

**Overseas Address (in case of NRIs/FIIs) (Mandatory)**

Overseas Address

**Name of Second Applicant**

Name of Second Applicant

Permanent Account Number (PAN) Date of Birth 

D	D	M	M	Y	Y	Y	Y
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 KYC Proof attached (Mandatory)

**Name of Third Applicant**

Name of Third Applicant

Permanent Account Number (PAN) Date of Birth 

D	D	M	M	Y	Y	Y	Y
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 KYC Proof attached (Mandatory)

**3. KYC details (Mandatory) (refer instruction 3)**  Individual  Non-Individual (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form)

**Status of First/Sole Applicant** [Please (✓)]

- Listed Company
- Unlisted Company
- Individual
- Minor through guardian
- HUF
- Partnership
- Society/Club
- Company
- Body Corporate
- Trust
- Mutual Fund
- FPI
- NRI-Repatriable
- NRI-Non-Repatriable
- FI/Sub account of FI
- Fund of Funds in India
- QFI
- Others..... (please specify)

**Occupation Details** [Please (✓)]

(To be filled only if the applicant is an individual)

- First Applicant**
- Private Sector Service  Public Sector Service
  - Government Service  Business
  - Professional  Agriculturist
  - Retired  Housewife
  - Student  Forex Dealer
  - Others..... (please specify)
- 
- Second Applicant**
- Private Sector Service  Public Sector Service
  - Government Service  Business
  - Professional  Agriculturist
  - Retired  Housewife
  - Student  Forex Dealer
  - Others..... (please specify)
- 
- Third Applicant**
- Private Sector Service  Public Sector Service
  - Government Service  Business
  - Professional  Agriculturist
  - Retired  Housewife
  - Student  Forex Dealer
  - Others..... (please specify)

**Gross Annual Income (in ₹)** [Please (✓)]

- First Applicant**
- Below 1 Lac  1-5 Lacs
  - 5-10 Lacs  10-25 Lacs
  - > 25 Lacs - 1 Crore  > 1 Crore (or)
- Net-worth (Mandatory for non-individuals) ₹  
..... as on  
I D I D I M I M I Y I Y I Y I Y I (Not older than one year)
- 
- Second Applicant**
- Below 1 Lac  1-5 Lacs
  - 5-10 Lacs  10-25 Lacs
  - > 25 Lacs - 1 Crore  > 1 Crore (or) Net-worth.....
- 
- Third Applicant**
- Below 1 Lac  1-5 Lacs
  - 5-10 Lacs  10-25 Lacs
  - > 25 Lacs - 1 Crore  > 1 Crore (or) Net-worth.....

**PEP Status**

- First Applicant**
- For Individuals** [Please (✓)] Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors)
- I am PEP
  - I am related to PEP  Not Applicable
- For Non-Individuals providing any of the below mentioned services** [Please (✓)]
- Foreign Exchange/Money Changer Services
  - Gaming/Gambling/Lottery/Casino Services
  - Money Lending/Pawning
  - None of the above
- 
- Second Applicant**
- (To be filled only if the applicant is an individual)
- I am PEP
  - I am related to PEP
  - Not Applicable
- 
- Third Applicant**
- (To be filled only if the applicant is an individual)
- I am PEP
  - I am related to PEP
  - Not Applicable

**4. FATCA-CRS DETAILS For Individuals & HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA-CRS Annexure**

The below information is required for all applicant(s) / guardian / PoA holder

Category	First Applicant/Guardian	Second Applicant	Third Applicant
1. Are you a Tax Resident of Country other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. Is your Country of Birth/ citizenship other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
3. Is your Residence address / Mailing address / Telephone No. other than in India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
4. Is the PoA holder / person to whom signatory authority is given, covered under any of the categories 1, 2 or 3 above?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

If you have answered YES to any of above, please provide the below details

Country of Tax Residence			
Nationality			
Tax Identification Number <sup>\$</sup> or Reason for not providing TIN			
Identification Type (TIN or Other, please specify)			
Residence address for tax purposes (include City, State, Country & Pin code)			
Address Type	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
City of birth			
Country of birth			

<sup>\$</sup> In case any of applicant being resident/ tax payer in more than one country, provide tax identification number for each such country separately.

**FATCA-CRS Instructions**

**Details under FATCA-CRS/Foreign Tax Laws:** The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income Tax Rules 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities/appointed agencies. If you have any questions about your tax residency, please contact your tax advisor. Should there be any **change in any information provided by you, please ensure you advise us** promptly, *i.e., within 30 days*. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

**If you are a US citizen or resident or greencard holder, please include United States in the Country of Tax Residence field along with your US Tax Identification Number.** Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation with supporting documents and attach this to the form.

**5. Bank Account Details of First/Sole Applicant (as per SEBI Regulations it is mandatory) (refer instruction 5)**

Account No																					
Name of the Bank											Branch										
Branch Address											Bank City (redemption will be payable at this location)										
Cheque MICR No						Account Type [Please (✓)]	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE* <input type="checkbox"/> NRO* <input type="checkbox"/> FCNR* <input type="checkbox"/> Others.....														
RTGS / NEFT / IFSC Code											*If the payment is by DD or source of fund is not clear on the Cheque leaf, please provide a copy of FIRC.										

**6. Mode of payment of redemption/dividend proceeds via Direct credit/NEFT/Other Mode (refer instruction 6).**

Direct Credit is now available with: Axis Bank, BNP Paribas Bank, Citibank, Deutsche Bank, HDFC Bank, HSBC Bank, ICICI Bank, IDBI Bank, IndusInd Bank, ING Vysya, Kotak Mahindra Bank, Royal Bank of Scotland, SBI, Standard Chartered Bank, YES Bank. If your bank falls in this list your Redemption/Dividend proceeds will be directly credited to your account. Alternatively, you will receive the payment through NEFT mode based on the bank details available. Otherwise, payment will be made by way of a cheque/demand draft/warrant.

**7. Payment Details: Please issue a separate Cheque/Demand Draft favouring the scheme you wish to invest/One Time Mandate (OTM) (refer instruction 7)**

Scheme Name	Plan	Option	Amount Invested (less DD charges)	Net Amount Paid	Payment Details	
					<input type="checkbox"/> OTM <input type="checkbox"/> Cheque / <input type="checkbox"/> DD Number	Bank/Branch
	<input type="checkbox"/> Regular <input type="checkbox"/> Direct					
	<input type="checkbox"/> Regular <input type="checkbox"/> Direct					
	<input type="checkbox"/> Regular <input type="checkbox"/> Direct					

**In case of third party payment (refer instruction 7):** Please download (www.sundarammutual.com) and attach the third party declaration form

**8. DEMAT Account Details (refer instruction 8)**

<input type="checkbox"/> National Securities Depository Ltd.	Depository Participant
<input type="checkbox"/> Central Depository Services (India) Ltd.	DP ID Number <input type="text"/> Beneficiary Account Number <input type="text"/>

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the application form.

**9. Please indicate details of your SIP (refer instruction 9) (skip this section if you wish to make a one-time investment)**

**Mode of SIP**  Post-dated cheques (please provide the details below)  OTM/NACH (please submit SIP Registration Form)

SIP Period (For Post-Dated Cheques)	SIP Date	SIP Frequency				
<table border="1"> <tr> <td>SIP Starting</td> <td>SIP Ending</td> </tr> <tr> <td>M M Y Y Y Y</td> <td>M M Y Y Y Y</td> </tr> </table>	SIP Starting	SIP Ending	M M Y Y Y Y	M M Y Y Y Y	for Monthly/Quarterly frequency only <input type="checkbox"/> 1 <input type="checkbox"/> 7 <input type="checkbox"/> 14 <input type="checkbox"/> 20 <input type="checkbox"/> 25	<input type="checkbox"/> Weekly (Minimum amount Rs 1000 Every Wednesday. Minimum No of installments 5) <input type="checkbox"/> Monthly (Minimum amount Rs 250 Minimum No of installments 20) <input type="checkbox"/> Quarterly (Minimum amount Rs 750 Minimum No of installments 7)
SIP Starting	SIP Ending					
M M Y Y Y Y	M M Y Y Y Y					
No. of PDCs <input type="text"/>	First SIP Cheque No <input type="text"/>	Last SIP Cheque No <input type="text"/>				
Each SIP Amount Rs <input type="text"/>	Refer Guide to investing through SIP					

**Turn overleaf for Declaration & Signature (Mandatory) → → →**

<b>Acknowledgement</b> Sundaram Asset Management Company Limited, II Floor, 46 Whites Road, Chennai - 600 014. Toll Free 1800 103 7237 (India) +91 44 49057300 (NRI)	Serial No: EQ <div style="border: 1px solid black; height: 40px; width: 100%;"></div> ISC's Signature & Stamp
Received From Mr./Mrs./Ms. .... Communication in connection with the application should be addressed to the Registrar <b>Sundaram BNP Paribas Fund Services Limited</b> , Registrar and Transfer Agents, Unit: Sundaram Mutual Fund, Central Processing Center, RR Towers, II III Floor, Thiru Vi Ka Industrial Estate, Guindy, Chennai 600 032. Toll Free 1800 103 7237 (India) +91 44 49057300 (NRI).	Please Note: All Purchases are subject to realisation of cheques / demand drafts.



